10 1994 PCT/PTO 24 JAN 2005 PATENT COOPERATION TREA RECEIVE 10 Resid PUT/PTO om the 1 7 NOV 2004 NTERNATIONAL PRELIMINABY EXAMINING AUTHORITY RECKTT BENC SER TO A GROUP PATENTS 1 ાશીય HYDE, Robert, Alan RECKITT BENCKISER Inc. NOTIFICATION OF TRANSMITTAL OF Morris Corporate Center IV 1,0527 WO THE INTERNATIONAL PRELIMINARY 399 Interpace Parkway Parsippany - New Jersey 07054 **EXAMINATION REPORT** ETATS-UNIS D'AMERIQUE (PCT Rule 71.1) wate of mailing (day/month/year) 04.11.2004 Applicant's or agent's file reference ALS 11052P3 WO/RH IMPORTANT NOTIFICATION indinational filing date (day/month/year) International application No. Priority date (day/month/year) PCT/GB 03/03047 14-07-2003 24.07.2002 Applicant RECKITT BENCKISER INC

- The applicant is hereby notified that this International Preliminary Examining Authority transmits herewith the international preliminary examination report and its annexes, if any, established on the international application.
- A copy of the report and its annexes, if any, is being transmitted to the International Bureau for communication to all the elected Offices.
- 3. Where required by any of the elected Offices, the International Bureau will prepare an English translation of the report (but not of any annexes) and will transmit such translation to those Offices.

4. REMINDER

The applicant must enter the national phase before each elected Office by performing certain acts (filing translations and paying national fees) within 30 months from the priority date (or later in some Offices) (Article 39(1)) (see also the reminder sent by the International Bureau with Form PCT/IB/301).

Where a translation of the international application must be furnished to an elected Office, that translation must contain a translation of any annexes to the international preliminary examination report. It is the applicant's responsibility to prepare and furnish such translation directly to each elected Office concerned.

For further details on the applicable time limits and requirements of the elected Offices, see Volume II of the PCT Applicant's Guide.

The applicant's attention is drawn to Article 33(5), which provides that the criteria of novelty, inventive step and industrial applicability described in Article 33(2) to (4) merely serve the purposes of international preliminary examination and that "any Contracting State may apply additional or different criteria for the purposes of deciding whether, in that State, the claimed inventions is patentable or not" (see also Article 27(5)). Such additional criteria may relate, for example, to exemptions from patentability, requirements for enabling disclosure, clarity and support for the claims.

Name and mailing address of the International preliminary examining authority:

<u>)</u>

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ATENT COOPERATION TREA

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INTERNATIONAL PRELIMINARY EXAMINATION REPORT

(PCT Article 36 and Rule 70)

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Applicant's or agent's file reference 11052P3 WO/RH				FOR FURTHER ACTION See Notification of Transmittal of International Preliminary Examination Report (Form PCT/IPEA/416)			
International application No. PCT/GB 03/03047				International filing date (da 14.07.2003	y/month/year)	Priority date (day/month/year) 24.07.2002	
Intern	ational	Paten	t Classification (IPC) or bo	oth national classification and	IPC		
C111	D3/48						
Applic	cant	REN	CKISER INC				
L		DEIN	ONICEITING				
1.	This i	nterna prity a	ational preliminary exa nd is transmitted to the	mination report has been applicant according to A	prepared by this In	ternational Preliminary Examining	
2.	2. This REPORT consists of a total of 5 sheets, including this cover sheet.						
	This report is also accompanied by ANNEXES, i.e. sheets of the description, claims and/or drawings which have been amended and are the basis for this report and/or sheets containing rectifications made before this Authority (see Rule 70.16 and Section 607 of the Administrative Instructions under the PCT).						
	Thes	•	nexes consist of a total				
3.	This	renor	t contains indications r	relating to the following ite	ems:		
0.	3. This report contains indications relating to the following items: 						
	11		Priority				
1	111			of opinion with regard to no	ovelty, inventive ste	p and industrial applicability	
	IV		Lack of unity of inver		•		
	V	Ø	Reasoned statement	t under Rule 66.2(a)(ii) wit ations supporting such sta	th regard to novelty tement	, inventive step or Industrial applicability;	
	VI		Certain documents of	eited			
1	VII		Certain defects in the	e international application			
	VIII		Certain observations	s on the international appl	ication		
Da [*]	te of sul	omissi	on of the demand		Date of completion	of this report	
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-		- F	ax: +31 70 340 - 3016		Telephone No. +31	70 340-4183	

INTERNATIONAL PRELIMINARY EXAMINATION REPORT

International application No.

PCT/GB 03/03047

1.	Basis	s of	the	re	po	rt
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 With regard to the elements of the international application (Replacement sheets which have been furnished to the receiving Office in response to an invitation under Article 14 are referred to in this report as "originally filed" and are not annexed to this report since they do not contain amendments (Rules 70.16 and 70.17)):

	Desc	ription, Pages			
	1-27		as originally filed		
	Clair	ns, Numbers			
	1-12		as originally filed		
2.	With lange	regard to the langua uage in which the inte	ge, all the elements marked above were available or furnished to this Authority in the mational application was filed, unless otherwise indicated under this item.		
	Thes	se elements were avai	ilable or furnished to this Authority in the following language: , which is:		
			nslation furnished for the purposes of the international search (under Rule 23.1(b)).		
			cation of the international application (under Rule 48.3(b)).		
			nslation furnished for the purposes of international preliminary examination (under		
3.	 With regard to any nucleotide and/or amino acid sequence disclosed in the international application, international preliminary examination was carried out on the basis of the sequence listing: 				
		contained in the inter	national application in written form.		
		filed together with the	e international application in computer readable form.		
		furnished subsequen	tly to this Authority in written form.		
		furnished subsequen	tly to this Authority in computer readable form.		
		in the international ap	ne subsequently furnished written sequence listing does not go beyond the disclosure opplication as filed has been furnished.		
		The statement that the listing has been furni	ne information recorded in computer readable form is identical to the written sequence ished.		
4.	. The	amendments have re	esulted in the cancellation of:		
		the description,	pages:		
		the claims,	Nos.:		
		the drawings,	sheets:		
5	. 🗆	This report has been been considered to	n established as if (some of) the amendments had not been made, since they have go beyond the disclosure as filed (Rule 70.2(c)).		
		(Any replacement si report.)	heet containing such amendments must be referred to under item 1 and annexed to this		
6	s. Ad	ditional observations,	if necessary:		

INTERNATIONAL PRELIMINARY EXAMINATION REPORT

International application No.

PCT/GB 03/03047

V. Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement

1. Statement

Novelty (N)

Yes: Claims

10,11

No:

Claims 1-9,12

V- - 01

11

Inventive step (IS)

Yes: Claims No: Claims

1-10,12

Industrial applicability (IA)

Yes: Claims

1-12

No: Claims

2. Citations and explanations

see separate sheet



INTERNATIONAL PRELIMINARY **EXAMINATION REPORT - SEPARATE SHEET**

International application No. PCT/GB 03/03047

Re Item V

Reasoned statement under Rule 66.2(a)(ii) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement

Reference is made to the following documents:

D1: EP-A-0691397

D2: WO-A-9919438

D3: US-A1-2002058603

D4: EP-A-0651048

D5: GB-A-2336371

D6: WO-A-0119944

D7: WO-A-8605509

D8: US-A-6017869

D9: US-B1-6395698

D10: GB-A-2346375

D11: EP-A-0651049

1. D1-D11 (D1: claims; examples; page 4, lines 16-45; page 3, lines 37-55; D2: examples 20-25; page 23, lines 22-28; D3: claims 1, 2, 4 and 5; examples; D4: claims; examples; page 2, line 34 - page 3, line 35; D5: claims 1-6, 10 and 11; examples; page 21, lines 3-30; page 23, lines 1-10; page 18, line 14- page 19, line 10; page 5, line 25page 8, line 19; D6: examples 2 and 3; D7: claims; example 1; page 16, line 23; page 13, line 18 - page 14, line 22; D8: claims; examples; column 13, lines 27-45; column 4, line 3 - column 5, line 57; D9: claims 1-10, 15-18 and 20; examples 3 and 4; column 5, line 43 - column 6, line 67; D10: claims 1, 8, 9, 12-15 and 18; examples 3-7; page 9, line 3 - page 12, line 26; page 16, lines 29-31; D11: claims 1-3 and 6; examples;) all disclose cleaning compositions comprising a cationic surfactant having germicidal properties, a nonionic surfactant, a chelating as defined in claim 1 and water.

The subject-matter of claims 1 is therefore not novel over any of the documents D1-D11 (Article 33(2) PCT).

- 2. The compositions of D1-D11 all comprise a ethoxylated alcohol as nonionic surfactant. The subject-matter of claim 2 is thus also not novel in view of D1-D11. 3. A combination of ethoxylated alcohol surfactant and an amine oxide surfactant is
- disclosed in D1-D4. The subject-matter of claim 3 is therefore not novel.

- 4. D1-D11 disclose all the use of a chelating agent as described in claim 4, which therefore lacks novelty.
- 5. The compositions of D1-D3, D5-D9 discloses the use of the specific chelating agents of claim 5 . Claim 5 is not novel.
- 6. The compositions of D5-D8 can be used in an aerosol spray bottle, i.e. they comprise a propellant. The subject-matter of claim 6 is not new in view of D5-D8.
- 7. The subject-matter of claims 7 and 8 coincides with that of claims 3 and 4, and are thus not novel in view of D1-D4.
- 8. The compositions of D1-D3 discloses the use of the specific chelating agents of claim 9. The subject-matter of claim 9 is thus not novel.
- 9. The compositions of D1, D3-D11 are all used as hard surface cleaning compositions. Therefore, claim 12 is not novel.
- 10. The subject-matter of claim 10 differs from that of D1-D4 in that a propellant is present in the compositions.

The remaining objective problem is therefore to provide an alternative way of dispensing the compositions onto a surface.

Dispensing aqueous liquid cleaning/disinfecting compositions by an aerosol type product is known from D5-D8. The skilled person would therefore without exercising inventive skill use the compositions of D1-D4 in the aerosol type products of D5-D8, i.e. also introduce a propellant in the compositions of D1-D4.

The subject-matter of claim 10 is therefore not inventive in view of the combination of D1-D4 with D5-D8 (Article 33(3) PCT).

11. It is not allowable under Rule 6.2(a) PCT to define the subject-matter of a claim only by references to the description as is done in claim 11. However, none of the cited prior art documents discloses a composition which coincides completely with one the examples of the application.